## <span id="page-0-0"></span>Extended Truncated-Differential Distinguishers on Reduced-Round AES

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### <span id="page-1-0"></span>Section 1

[Motivation](#page-1-0)

### Sum of Independent Permutations

Simple approach to turn PRPs into a PRF:

$$
\Sigma_k(x) \stackrel{\text{def}}{=} \bigoplus_{i=1}^k \pi_i(x)
$$

**Assume:** 
$$
\pi_i \leftarrow \text{Perm}(\mathbb{F}_2^n)
$$

Goal of distinguisher  $\mathbf{A}$ : Distinguish  $\sum_k$  from random function



 $X \leftarrow \mathcal{X} = X$  is sampled uniformly at random and independently from other samplings from a set  $\mathcal{X}$ .

- XOR of *k* PRPs gives a PRF with security at least in  $O(2^{\frac{k}{k+1}n})$  [\[Luc00\]](#page-45-0).
- **Intensive analysis, mostly on**  $\Sigma_2$ [\[BI99,](#page-42-0) [CLP14,](#page-43-0) [Luc00,](#page-45-0) [MP15,](#page-46-0) [Pat08a,](#page-46-1) [Pat08b,](#page-46-2) [Pat10,](#page-46-3) [Pat13\]](#page-46-4)
- Indistinguishable from PRF up to  $q \in O(2^n)$  queries [\[BN18a,](#page-42-1) [DHT17,](#page-44-0) [MN17\]](#page-46-5)
- Indifferentiable from PRF up to  $q \in O(2^n)$  queries [\[BN18b\]](#page-42-2)



### Sum of PRPs [\[Pat08b,](#page-46-2) [Pat13\]](#page-46-4)

- Security maximum:  $q < 2^n$ :
- $\blacksquare$  Interest of most provable security ends here
- What if few responses are random?  $\implies$  other distinguishing approaches needed
- Motivated Patarin's studies [\[Pat08b,](#page-46-2) [Pat13\]](#page-46-4)



### Sum of PRPs [\[Pat08b,](#page-46-2) [Pat13\]](#page-46-4)

- **A** has access to function generator  $\mathcal{G}(F)$ 
	- $q \geq 1$  random constructions
	- $q \leq 2^n$  queries on each
- $\blacksquare$  Approach: Count  $\#$ collisions
- Expectations (and standard deviations) differ slightly
	- $\implies$  distinguisher given sufficiently many queries



### Example: Sum of 2 PRPs Example

$$
\blacksquare~q=2^8~\text{queries}/\text{experiment}
$$

```
1.7 \text{ test\_sum_of\_prps.py -k 2 -n 8 -e 65536}Sum of 2 PRPs
3 127.922623 11.393390
4 PRF
5 127.584320 11.303495
```


$$
\Sigma_2: \quad \mu=\frac{\binom{q}{2}}{2^n-1}\qquad \text{PRF}: \quad \mu=\frac{\binom{q}{2}}{2^n}
$$

### Distinguishing Complexity for Sum of *k* PRPs [\[Pat08b,](#page-46-2) [Pat13\]](#page-46-4)

Table: #Collisions  $\mathbb{E}[N_k]$  after  $q$  queries and distinguishing complexity for  $q \simeq 2^n$  [\[Pat08b\]](#page-46-2).



$$
\Pr[\text{COLL}] = \frac{1}{2^n} + \frac{(-1)^k}{2^n (2^n - 1)^{k-1}}.
$$

 $N_k = #$ Collisions for  $\Sigma_k$ ;  $q = #$ Functions;  $q = #$ Queries

Zhenzhen Bao, Jian Guo, Eik List [Extended Truncated-Differential Distinguishers on Reduced-Round AES](#page-0-0) November 2020 8/53

### Expectation Cryptanalysis Chen et al. [\[CMSZ15\]](#page-43-1)

- $\blacksquare$  First to observe applicability of expectation cryptanalysis for extending integrals
- Start: Propagation of ALL-subsets in SPNs (**A**, iterate over all elements)
- Affine layer  $\mathcal{L}$ :
	- $ALL (A) \xrightarrow{\mathcal{L}} BALANCED (B)$
- Next non-linear layer  $S$ :

BALANCED  $(\mathbf{B}) \xrightarrow{S}$  UNKNOWN  $(?)$ 



## Expectation Cryptanalysis (cont'd)

Core Observation by Chen et al. [\[CMSZ15\]](#page-43-1)

- Affine layers  $\mathcal{L}(x) = \mathbf{M} \cdot x + \mathbf{b}$ 
	- $\blacksquare$  **M** = circ(**v**) where

$$
\mathbf{v}=(a_1,\ldots,a_m),\qquad a_i\in\mathbb{F}
$$

**O**ften:  $k = wt(v) > 1$ : **v** is  $\Sigma_k$ -sum of components

$$
\mathbf{A} \xrightarrow{\mathcal{L}} \Sigma_k
$$



- Distribution of collisions preserved by subsequent non-linear layer S
- Focused on Type-II and Nyberg Feistel Networks with 4-bit S-boxes

### An Interesting Application Target: AES



- $\blacksquare$  MixColumns:  $\mathbf{M} = \text{circ}(2, 3, 1, 1)$
- $\blacksquare$   $\implies$   $\Sigma_4$  for the well-known 3-round integral:

$$
(\mathbf{A},\mathbf{A},\mathbf{A},\mathbf{A})\xrightarrow{\text{MC}}(\Sigma_4,\Sigma_4,\Sigma_4,\Sigma_4)
$$



## Distinguishers on  $5^+$ -round AES

- $\blacksquare$  Intensive studies since  $2016$ 
	- Sun et al.'s key-dependent integral  $[SLG^+16]$  $[SLG^+16]$
	- Open question: why only chosen ciphertext, full codebook
- **Improvements:** 
	- Key-dependent impossible differentials [\[GRR16,](#page-45-1) [Gra18a,](#page-45-2) [HCGW18\]](#page-45-3)
	- Key-dependent integral [\[HCGW18\]](#page-45-3).
- Second direction: differential-based, subspace trail, invariant
	- Multiple-of- $n$  [\[GRR17,](#page-45-4) [BCC19\]](#page-42-3)<sup>1</sup>
	- Mixture differentials [\[Gra18b\]](#page-45-5)
	- Best current distinguishers: Yoyo/Exchange [\[BR19b\]](#page-43-2)  $^2$
- Similar to our focus:
	- Expectation and variance cryptanalysis [\[GR18,](#page-44-1) [GR19\]](#page-44-2)
- Interesting topic, many things still in the dark

<sup>&</sup>lt;sup>1</sup>The key-recovery attack complexity was reduced by  $[BDK+18]$  $[BDK+18]$ .

 $2$ The key-recovery attacks by [\[DKRS20\]](#page-44-3) represent a follow-up work that follows this direction, but considers conditional boomerangs distinguishers on fewer rounds.

### Section 2

### <span id="page-12-0"></span>[Four-round Distinguisher](#page-12-0)

### Statistical Framework [\[Gra18b\]](#page-45-5)

For success probability  $\geq p_S$ ,  $\#$ Experiments *n* must satisfy:

$$
n \geq \frac{2\left(p_{\text{rand}}(1-p_{\text{rand}}) + \frac{\sigma_{\text{AES}}^2}{\sigma_{\text{rand}}^2}p_{\text{AES}}(1-p_{\text{AES}})\right)}{(p_{\text{AES}}-p_{\text{rand}})^2} \cdot \left(\text{erfinv}(2 \cdot p_S - 1)^2\right),
$$

erfinv(*x*) = Pr[*X* ∈ [−*x*, +*x*]], *X* ∼  $\mathcal{N}(0, 0.5)$  $p_{\text{rand}} =$  probability for random experiment  $p_{\text{AES}} =$  probability for the reduced AES  $\sigma^2 =$  variance

### Four-round Distinguisher

For 4-round  $\Delta$ ES:

$$
\Pr_{\text{AES}} \left[ S_{r,c}^{3,i} = S_{r,c}^{3,j} \right] \simeq \frac{1}{2^8} + \frac{1}{2^8 (2^8 - 1)^3} \simeq 2^{-8} + 2^{-31.983}
$$

For random truncated permutation:

$$
\Pr_{\text{rand}}\left[S_{r,c}^{3,i} = S_{r,c}^{3,j}\right] = \frac{2^{120} - 1}{2^{128} - 1} \simeq 2^{-8} - 2^{-128}.
$$

■ 
$$
p_S \ge 0.95
$$
:  
\n $\Rightarrow n \ge 2^{58.402}$  pairs  
\n $\Rightarrow 2^{43.41}$   $\delta$ -sets of  $2^{51.41}$  CPs

Optimizations: use all output bytes, build plaintext structures



 $r, c \in \{0, 1, 2, 3\}$  = row, column.

### Four-round Distinguisher Small-AES

 $\blacksquare$  For 4-round Small-AFS:

$$
\Pr_{\text{Small-AES}}\left[S_{r,c}^{3,i}=S_{r,c}^{3,j}\right]\simeq\frac{1}{2^4}+\frac{1}{2^4(2^4-1)^3}\simeq2^{-4}+2^{-15.721}
$$

For a truncated random permutation:

$$
\Pr_{\text{rand}}\left[S_{r,c}^{3,i} = S_{r,c}^{3,j}\right] = \frac{2^{60} - 1}{2^{64} - 1} \simeq 2^{-4} - 2^{-64.093}
$$

■  $p_S \ge 0.95$ :  $\implies n > 2^{29.878}$  pairs  $\implies$   $2^{23}$   $\delta$ -sets of  $2^{27}$  CPs



### Four-round Distinguisher Small-AES





100 random independent keys and 2<sup>s</sup> random δ-sets. Experimental values are rounded.  $\pi =$  Speck-64-96

### Section 3

### <span id="page-17-0"></span>[Five-round Distinguisher](#page-17-0)

### Five-round Distinguisher

- Goal: At least one inactive inverse diagonal after 5 rounds
- Probabilities for concrete inactive anti-diagonal:

$$
\Pr_{\text{AES}}\left[S^3 \in \mathcal{D}_{\{c\}}\right] \simeq \left(2^{-8} + \frac{1}{2^8 \cdot (2^8 - 1)^3}\right)^4 \simeq 2^{-32} + 2^{-53.983}
$$
\n
$$
\Pr_{\text{rand}}\left[S^3 \in \mathcal{D}_{\{c\}}\right] \simeq \frac{2^{96} - 1}{2^{128} - 1} \simeq 2^{-32} - 2^{-128}
$$

**Probability for at least one inactive anti-diagonal:** 

$$
p_{\text{AES}} \simeq 1 - \left(1 - \Pr_{\text{AES}}\left[S^3 \in \mathcal{D}_{\{c\}}\right]\right)^4 \simeq 2^{-30} + 2^{-51.985}
$$
\n
$$
p_{\text{rand}} \simeq 1 - \left(1 - \Pr_{\text{rand}}\left[S^3 \in \mathcal{D}_{\{c\}}\right]\right)^4 \simeq 2^{-30} - 2^{-61.415}
$$



 $c \in \{0, 1, 2, 3\} = \text{column.}$ 

## Five-round Distinguisher

**Complexities** 

- For a success probability of approximately  $p = 0.95$ :  $n>2^{76.406}$  pairs
- Data:  $2^{36}$  structures of  $2^{32}$  texts each
- Form  $4 \cdot 2^{24} \cdot \binom{2^8}{2}$  $\binom{2^{\circ}}{2}$  pairs

$$
2^{36}\cdot 4\cdot 2^{24}\cdot \binom{2^8}{2}\simeq 2^{77} \text{ pairs }
$$

- Memory: Dominated by  $2^{32}$  states in  $\mathcal Q$  and four lists  $L_i$  of  $4\times2^{32}$  columns at a time
- $Time: 2^{73.3}$  MAs  $+ 2^{68.3}$  Encs



### Five-round Distinguisher Small AES

**Probability for at least one inactive anti-diagonal:** 

$$
p_{\mathsf{Small}\text{-}\mathsf{AES}} \simeq 1 - \left(1 - \Pr_{\mathsf{Small}\text{-}\mathsf{AES}}\left[S^3 \in \mathcal{D}_{\{c\}}\right]\right)^4 \simeq 2^{-14} + 2^{-23.748}
$$

For a truncated random permutation:

$$
p_{\text{rand}} \simeq 1 - \left(1 - \Pr_{\text{rand}}\left[S^3 \in \mathcal{D}_{\{c\}}\right]\right)^4 \simeq 2^{-14} - 2^{-29.415}
$$

$$
\bullet \ \ p_S \geq 0.95 \implies n > 2^{35.878}
$$



## Five-round Distinguisher

Verification with Small-scale AES





 $100$  random independent keys and  $2^{30}$  random  $δ$ -sets. W/o MC in final round and tested on first column. Experimental values are rounded.  $\pi$  = Speck-64-96.

### Section 4

### <span id="page-22-0"></span>[Six-round Key Recovery](#page-22-0)

# Key-recovery on Six-round AES

Overview

Prepend one round

Recover  $K^0[0, 5, 10, 15]$ 



## Key-recovery on Six-round AES

Optimizing Complexities



## Key-recovery on Six-round AES

Experimental Results on Small-AES

- Goal: Recover *K*<sup>0</sup> [0*,* 5*,* 10*,* 15]
- $2^{15}$  structures:
	- 53 $\times$  among top 100 keys
- $2^{16}$  structures:
	- 92 $\times$  among top 100 keys
	- Worst: rank 313



Ranks for the correct key from 100 runs; random keys and  $2^{15}$  or  $2^{16}$  structures of  $2^{16}$  texts each.

### Section 5

### <span id="page-26-0"></span>[Six-round Distinguisher](#page-26-0)

■ Diagonal  $\mathcal{D}_0 = \mathcal{X}_0 \cup \mathcal{X}_1$  (disjoint)

 $4\cdot 2^{24}\cdot \binom{2^8}{2}$ 

 $p_{\text{AES}_6} \simeq$ 



$$
\simeq 2^{-30} - 2^{-61.415} + 2^{-73.989}
$$

■ Diagonal  $\mathcal{D}_0 = \mathcal{X}_0 \cup \mathcal{X}_1$  (disjoint)  $\mathcal{X}_1$  = good pairs  $\mathcal{S}^1$  $p_{\mathsf{AES}_5}$  for all  $x=4\cdot\binom{2^8}{2}$  $\binom{2^{\circ}}{2} \cdot 2^{24}$  pairs in  $\delta$ -sets  $\begin{array}{|c|c|c|c|}\nA & A & A & A \\
\hline\nA & A & A & A\n\end{array}$ A A A A **AAA**  $K^4$  $-54$  $K^5$  $-25$  $4\cdot 2^{24}\cdot \binom{2^8}{2}$  $\binom{2^8}{2} \cdot \left(2^{-30} + 2^{-51.985}\right) + \binom{2^{32}}{2}$  $\binom{3^2}{2} - \left(4 \cdot 2^{24} \cdot \binom{2^8}{2} \right)$  $\binom{2^8}{2}$   $\cdot$   $\left(2^{-30} - 2^{-61.415}\right)$  $p_{\text{AES6}} \simeq$  $\binom{2^{32}}{2}$  $\binom{32}{2}$  $\simeq 2^{-30} - 2^{-61.415} + 2^{-73.989}$ 



- Diagonal  $\mathcal{D}_0 = \mathcal{X}_0 \cup \mathcal{X}_1$  (disjoint)
- $\mathcal{X}_1$  = good pairs  $p_{\mathsf{AES}_5}$  for all  $x=4\cdot\binom{2^8}{2}$  $\binom{2^{\circ}}{2} \cdot 2^{24}$  pairs in  $\delta$ -sets
- $\mathcal{X}_0 = \bigl( \begin{smallmatrix} 2^{32} \ 2 \end{smallmatrix} \bigr)$  $\binom{32}{2}$  –  $x$  "random" pairs Assumption: They behave "randomly"

$$
p_{\text{AES}_6} = \frac{|\mathcal{X}_0| \cdot p_{\text{rand}} + |\mathcal{X}_1| \cdot p_{\text{AES}_5}}{|\mathcal{D}_0|}
$$

Random truncated permutation:

$$
p_{\rm rand} \simeq 2^{-30} - 2^{-61.415}
$$



$$
p_{\text{AES}_6} \simeq \frac{4 \cdot 2^{24} \cdot {2 \choose 2} \cdot \left(2^{-30} + 2^{-51.985}\right) + {2 \choose 2} - \left(4 \cdot 2^{24} \cdot {2 \choose 2}\right) \cdot \left(2^{-30} - 2^{-61.415}\right)}{{2 \choose 2}}
$$
  

$$
\simeq 2^{-30} - 2^{-61.415} + 2^{-73.989}
$$

- Diagonal  $\mathcal{D}_0 = \mathcal{X}_0 \cup \mathcal{X}_1$  (disjoint)
- $\mathcal{X}_1$  = good pairs  $p_{\mathsf{AES}_5}$  for all  $x=4\cdot\binom{2^8}{2}$  $\binom{2^{\circ}}{2} \cdot 2^{24}$  pairs in  $\delta$ -sets
- $\mathcal{X}_0 = \bigl( \begin{smallmatrix} 2^{32} \ 2 \end{smallmatrix} \bigr)$  $\binom{32}{2}$  –  $x$  "random" pairs Assumption: They behave "randomly"

$$
p_{\text{AES}_6} = \frac{|\mathcal{X}_0| \cdot p_{\text{rand}} + |\mathcal{X}_1| \cdot p_{\text{AES}_5}}{|\mathcal{D}_0|}
$$

Random truncated permutation:

$$
p_{\rm rand} \simeq 2^{-30} - 2^{-61.415}
$$

**Theoretical**  $p_{\text{AES}}$  **after six rounds:** 



$$
p_{\text{AES}_6} \simeq \frac{4 \cdot 2^{24} \cdot \binom{2^8}{2} \cdot \left(2^{-30} + 2^{-51.985}\right) + \binom{2^{32}}{2} - \left(4 \cdot 2^{24} \cdot \binom{2^8}{2}\right) \cdot \left(2^{-30} - 2^{-61.415}\right)}{\binom{2^{32}}{2}}
$$

$$
\simeq 2^{-30} - 2^{-61.415} + 2^{-73.989}
$$

### Six-round Distinguisher





## Six-round Distinguisher

Verification with Small-AES





## Six-round Distinguisher

Verification with Small-AES

- Results with Small-AES of 5 Rounds  $+$  $SB + AK$
- $100$  experiments
- $\blacksquare$  #collisions in at least one ciphertext column per structure of  $2^{16}$  texts
- $\blacksquare$   $\pi$  = Speck-64-96





3 approaches for verifications of the theoretical probabilities:

- **1** Patarin's sum of permutation
- <sup>2</sup> Proof following the footsteps of Grassi and Rechberger [\[GR19\]](#page-44-2) under assumptions:
	- Ideal S-box
	- Any combination of input-output cells is equally successful
- **3** Rønjom's truncated-differential propagation matrices [\[Røn19\]](#page-47-3)
- Equal theoretical probabilities for all three
- $\blacksquare$  But... not completely the real-world setting

We analyzed dependencies

- $\blacksquare$  Index dependencies of active input cells and concerned output cells
- **F** Fffects of the S-box

In appendix and in paper

### <span id="page-37-0"></span>Section 6

[Summary](#page-37-0)

### Summary Truncated-differential distinguishers

- On 4-round AFS
- On 5-round AES
- On 6-round AFS
- Theoretical probabilities verified with approach by Rønjom [\[Røn19\]](#page-47-3)
- All implemented with Small-AES



 $MAs =$  memory accesses;  $CP =$  chosen plaintexts;  $(A)CC =$  (adaptive) chosen ciphertexts;  $ID =$  impossible differential;  $TD =$  truncated differential;  $MD =$  mixture differential

<https://github.com/medsec/expectation-cryptanalysis-on-round-reduced-aes>

■ 6-round AES

**Implemented with Small-AES** 



- Small-bias distinguishers are highly useful Good paper prior to ours: [\[GR19\]](#page-44-2)
- $\blacksquare$  Interesting: S-box and index dependencies
- Claim: The more uniform the S-box, the lower deviations from theory [\[GR19\]](#page-44-2) Reason still unclear, but indications
- **Example 2** Large deviations mostly due to the small size of Small-AES

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### Section 7

### <span id="page-49-0"></span>[Supporting Slides](#page-49-0)

"In theory, there is no difference between theory and practice. But, in practice, there is." Benjamin Brewster [\[Yal82,](#page-48-3) p.202]

We analyzed

- Index dependencies of active input cells and concerned output cells
- $\blacksquare$  Effects of the S-box

How do different combinations of input (*i*in) and output (*i*out) indices behave?

- Active cell in  $S^0[i_{\sf in}]$
- Collision search in  $S^4[i_{\text{out}}]$  (no final MC)
- Compare in terms of  $|p_{Small-AES} p_{rand}|$





### Index Dependencies: Theory

- **Equation system**
- Four terms per output cell:

For example, for  $(i_{in}, i_{out}) = (0, 0)$ :

$$
2S(2S(2x_i \oplus K^1[0]) \oplus K^2[0]) \oplus 3S(S(3x_i \oplus K^1[1]) \oplus K^2[5])
$$
  
\n
$$
\oplus S(2S(x_i \oplus K^1[2]) \oplus K^2[10]) \oplus S(S(x_i \oplus K^1[3]) \oplus K^2[15])
$$
  
\n
$$
= 2S(2S(2x_j \oplus K^1[0]) \oplus K^2[0]) \oplus 3S(S(3x_j \oplus K^1[1]) \oplus K^2[5])
$$
  
\n
$$
\oplus S(2S(x_j \oplus K^1[2]) \oplus K^2[10]) \oplus S(S(x_j \oplus K^1[3]) \oplus K^2[15])
$$

for  $i \neq j$ . For different in- or output positions, the equations differ naturally.

### Index Dependencies: Experimental Results on Small-AES

- **In multiples of**  $|p_{Small-AES} p_{rand}|$
- $0.0 =$  no distinguisher
- $1.0 =$  distinguisher as expected
- $\vert \bullet \vert > \vert \pm 1 \vert =$  good distinguisher

Range of  $[0, +7]$ : most combinations better than expected, but not  $(i_{in}, i_{out}) = (0, 0)$ 





### Index Dependencies: Theoretical Results on The AES

- In multiples of |*p*AES − *p*rand|
- Range of  $[0.99..1.35] \implies$  any  $(i_{in}, i_{out})$  works well
- **Potential interpretation: Small size and few rounds produce side effects**



### S-box Dependencies Small-AES



## Which S-box Properties Cause The Deviations?

- Variance? (Already suspected by [\[GR19\]](#page-44-2))
- $D_S =$  distance to expected #collisions for input cell

$$
D_S \stackrel{\text{def}}{=} \sqrt{\sum_{i_{\text{out}}=0}^{15} \left| \mathbf{X}_{i_{\text{out}}}^S - \mathbb{E} \left[ \mathbf{X} \right] \right|^2}
$$

 $\blacksquare$  Pearson correlation of variance and  $D_S$ 

$$
\rho_{X,Y} \stackrel{\text{def}}{=} \frac{\text{cov}(X,Y)}{\sigma_X \cdot \sigma_Y},
$$

- $(r, p) \simeq (0.812, 1.637 \cdot 10^{-13})$ high correlation, low error probability
- $\blacksquare$  But not full story...

 $cov(X, Y) = det \mathbb{E} [(X - \mu_X) \cdot (Y - \mu_Y)]$  is the covariance of X and Y.



Correlation

Zhenzhen Bao, Jian Guo, Eik List [Extended Truncated-Differential Distinguishers on Reduced-Round AES](#page-0-0) November 2020 53/53