Small Stretch Problem of the DCT Scheme and How to Fix It

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- 2 A DAE Scheme: DCT
- **3** Small Stretch Problem of DCT
- 4 Attacks on DCT with Small Stretch
- **5** How to Fix It: Robust DCT

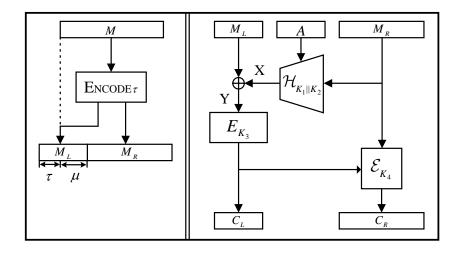
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- We propose a systematic technique to linearize the BRW polynomial employed by the instantiation of DCT.
- We show that although DCT employs the BRW polynomial, it still suffers from a small stretch problem similar to that of GCM.
- We propose a variant of DCT named Robust DCT (RDCT) with minimal modification, and we prove the DAE security of RDCT.

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- Proposed by Forler et al. [FLLW16].
- Beyond-Birthday-Bound secure.
- Ensuring integrity by adding redundancy (left).



The instantiation of DCT employs a CTR-like encryption scheme as \mathcal{E}_{K_4} , a 2*n*-bit permutation as *E*, and uses the BRW polynomial to instantiate $\mathcal{H}_{K_1||K_2}$. ENCODE_{au} encodes the au-bit of zero into the message.

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- Both GCM [MV04] and DCT employ a polynomial-based UHF.
- When the stretch length τ of DCT is small, using the linear modification technique proposed by Ferguson [Fer05], we can choose a special m-block message, and reduce the number of queries required by a successful forgery to O(2^τ/m).
- Our attack efficiently balances space and time complexity but does not contradict the security bounds of DCT.

• The authentication function of GCM can be denoted as:

$$T \coloneqq R \oplus \sum_{i=1}^m C_i H^i.$$

• When GCM uses a small truncated tag, the adversary can change the ciphertext by solving a system of linear equations to obtain potential successful modifications with higher probability.

Example

When GCM uses a 32-bit tag, and the adversary knows the ciphertext for a message consisting of 2^{17} blocks (about 2 MB), with Ferguson's technique, the probability of an adversary forging a 32-bit tag is 2^{-16} instead of 2^{-32} .

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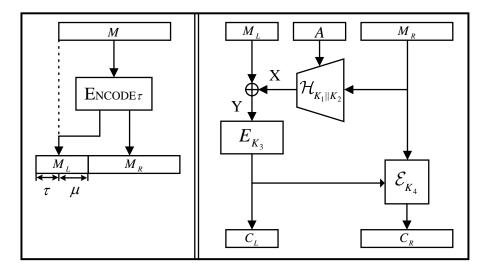
 $\mathcal{H}_{K_1||K_2}(X_1, X_2) = KBRW_{K_1}(M) ||KBRW_{K_2}(M).$

Definition 1 (KBRW polynomial)

Given an *m*-block message $M = (M_1, \dots, M_m), M_i \in \{0, 1\}^n$, the polynomial $KBRW_K(M)$ is defined as follows:

 $\begin{aligned} & KBRW_K(\varepsilon) = 0^n; \\ & KBRW_K(M_1) = M_1K; \\ & KBRW_K(M_1, M_2) = M_1K^2 \oplus M_2K; \\ & KBRW_K(M_1, M_2, M_3) = K^4 \oplus M_1K^3 \oplus M_2K^2 \oplus (M_1M_2 \oplus M_3)K; \\ & KBRW_K(M_1, \cdots, M_m) = KBRW_K(M_1, \cdots, M_{t-1})(K^t \oplus M_t) \oplus \\ & KBRW_K(M_{t+1}, \cdots, M_m) \text{ if } t \leq m < 2t \text{ for } t = 2^i, i \geq 2. \end{aligned}$

Idea of Our Attacks



The forgery is successful if and only if:

 $MSB_{\tau}(M_L) = MSB_{\tau}(E_{K_3}^{-1}(C_L) \oplus KBRW_K(M_R \oplus C_R \oplus C_R')) = 0^{\tau}.$

So the forgery attack is reduced to the problem of looking for a modification string $D = C_R \oplus C'_R = M_R \oplus M'_R$ while keeping

 $MSB_{\tau}(KBRW_K(M)) = MSB_{\tau}(KBRW_K(M \oplus D)).$

Example: When m = 3

 $KBRW_K(M) = K^4 \oplus M_1 K^3 \oplus M_2 K^2 \oplus (M_1 M_2 \oplus M_3) K.$

Let M_1 remain invariable $(D_1 = 0)$, and only modify M_2 and M_3 by unknowns D_2 and D_3 , respectively, so that

 $KBRW_K(M) \oplus KBRW_K(M \oplus D) = D_2K^2 \oplus (M_1D_2 \oplus D_3)K$

is a linear function of K, where $D = (D_1, D_2, D_3)$.

Example: When m = 7

 $KBRW_{K}(M) = K^{8} \oplus M_{1}K^{7} \oplus M_{2}K^{6} \oplus (M_{1}M_{2} \oplus M_{3})K^{5}$ $\oplus (M_{4} \oplus 1)K^{4} \oplus (M_{1}M_{4} \oplus M_{5})K^{3} \oplus (M_{2}M_{4} \oplus M_{6})K^{2}$ $\oplus (M_{1}M_{2}M_{4} \oplus M_{3}M_{4} \oplus M_{5}M_{6} \oplus M_{7})K.$

Let $M_1 = 0$, M_2 , M_3 and M_5 remain invariable ($D_2 = D_3 = D_5 = 0$), and only modify M_4 , M_6 and M_7 by unknowns D_4 , D_6 and D_7 , respectively, so that

 $KBRW_{K}(M) \oplus KBRW_{K}(M \oplus D) = D_{4}K^{4} \oplus (M_{2}D_{4} \oplus D_{6})K^{2}$ $\oplus (M_{3}D_{4} \oplus M_{5}D_{6} \oplus D_{7})K$

is a linear function of K.

Linearizing KBRW with Special Length Message

Assume the message length is $m = 2^u - 1$.

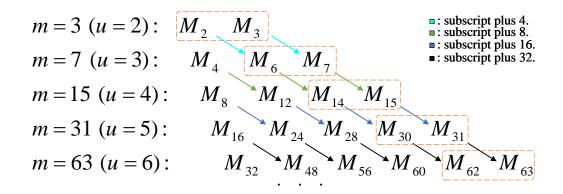
- \mathcal{V}_0^u and \mathcal{V}_1^u are sets of blocks that can be chosen arbitrarily and modified by unknowns;
- \mathcal{A}_0^u and \mathcal{A}_1^u are sets of blocks that can be chosen arbitrarily but not modified by unknowns;
- \mathcal{F}_0^u and \mathcal{F}_1^u are sets of blocks that are fixed as 0 and 1 respectively and not modified by unknowns.

Example: When u = 2

 $KBRW_K(M) = K^4 \oplus M_1 K^3 \oplus M_2 K^2 \oplus (M_1 M_2 \oplus M_3) K.$

Let $\mathcal{V}_0^2 = \{M_2, M_3\}$ and $\mathcal{A}_0^2 = \{M_1\}$ (the value of M_1 should remain invariable in our forgery attacks $(D_1 = 0)$).

Linearizing KBRW with Special Length Message



Example: When u = 3, t = 4

 $KBRW_{K}(M) = KBRW_{K}(M_{1}, M_{2}, M_{3})(K^{4} \oplus M_{4}) \oplus KBRW_{K}(M_{5}, M_{6}, M_{7})$ = $K^{8} \oplus M_{1}K^{7} \oplus M_{2}K^{6} \oplus (M_{1}M_{2} \oplus M_{3})K^{5}$ $\oplus (M_{4} \oplus 1)K^{4} \oplus (M_{1}M_{4} \oplus M_{5})K^{3} \oplus (M_{2}M_{4} \oplus M_{6})K^{2}$ $\oplus (M_{1}M_{2}M_{4} \oplus M_{3}M_{4} \oplus M_{5}M_{6} \oplus M_{7})K.$

 $\mathcal{V}_0^3 = \{M_{i+2^2} | M_i \in \mathcal{V}_0^2\} = \{M_6, M_7\}.$ Note that the term $(M_4 \oplus 1)K^4$, we choose $\mathcal{V}_1^3 = \{M_4\}.$

Linearizing KBRW with Special Length Message

Theorem 2

For the KBRW polynomial, assume $m = 2^u - 1$, $u \ge 2$. Let $\mathcal{V}_0^2 = \{M_2, M_3\}$, $\mathcal{A}_0^2 = \{M_1\}$, and initialize the remaining set to \emptyset . We can obtain the following recursions:

$$\begin{aligned} \mathcal{V}_{0}^{u} &= \{M_{i+2^{u-1}} | M_{i} \in \mathcal{V}_{0}^{u-1} \}, \\ \mathcal{V}_{1}^{u} &= \{M_{2^{u-1}} \} \bigcup \{M_{i+2^{u-1}} | M_{i} \in \mathcal{V}_{1}^{u-1} \}, \\ \mathcal{A}_{0}^{u} &= \mathcal{V}_{0}^{u-1} \bigcup \{M_{i+2^{u-1}} | M_{i} \in \mathcal{A}_{0}^{u-1} \}, \\ \mathcal{A}_{1}^{u} &= \mathcal{V}_{1}^{u-1} \bigcup \{M_{i+2^{u-1}} | M_{i} \in \mathcal{A}_{1}^{u-1} \}, \\ \mathcal{F}_{0}^{u} &= \mathcal{F}_{0}^{u-1} \bigcup \{M_{i+2^{u-1}} | M_{i} \in \mathcal{F}_{0}^{u-1} \} \bigcup \mathcal{A}_{0}^{u-1}, \\ \mathcal{F}_{1}^{u} &= \mathcal{F}_{1}^{u-1} \bigcup \{M_{i+2^{u-1}} | M_{i} \in \mathcal{F}_{1}^{u-1} \} \bigcup \mathcal{A}_{1}^{u-1}, \end{aligned}$$

where $i \in \mathbb{Z}^+$. Then, after assigning the message blocks according to the recursions above, $KBRW_K(M) \oplus KBRW_K(M \oplus D)$ is a linear function of K.

Linearizing KBRW with General Length Message I

For general m, we define six disjoint sets of message blocks as V_0^m , V_1^m , A_0^m , A_1^m , F_0^m and F_1^m .

Theorem 3

For the KBRW polynomial, assuming the message length is m, $t \leq m < 2t, t = 2^u, u \geq 2$. Let $V_0^1 = \{M_1\}, V_0^2 = \{M_1, M_2\}, V_0^3 = \{M_2, M_3\},$ $A_0^3 = \{M_1\}$ and initialize the remaining set to \emptyset . We can obtain the following recursions when $m \geq 4$:

$$A_0^m = V_0^{t-1} \bigcup \{ M_{i+t} | M_i \in A_0^{m-t} \},\$$

$$A_1^m = V_1^{t-1} \bigcup \{ M_{i+t} | M_i \in A_1^{m-t} \},\$$

$$F_0^m = F_0^{t-1} \bigcup \{ M_{i+t} | M_i \in F_0^{m-t} \} \bigcup A_0^{t-1},\$$

$$F_1^m = F_1^{t-1} \bigcup \{ M_{i+t} | M_i \in F_1^{m-t} \} \bigcup A_1^{t-1}.\$$

Theorem 3

Furthermore, we can obtain the following recursions when $m \geq 7$:

$$V_0^m = \begin{cases} \{M_{i+t} | M_i \in V_0^{m-t}\} \bigcup \{M_t\}, & m < \frac{3t}{2} \\ \{M_{i+t} | M_i \in V_0^{m-t}\}, & otherwise \end{cases}$$
$$V_1^m = \begin{cases} \{M_{i+t} | M_i \in V_1^{m-t}\}, & m < \frac{3t}{2} \\ \{M_{i+t} | M_i \in V_1^{m-t}\} \bigcup \{M_t\}, & otherwise, \end{cases}$$

where $i \in \mathbb{Z}^+$. Then, after assigning the message blocks according to the above recursions, $KBRW_K(M) \oplus KBRW_K(M \oplus D)$ is a linear function of K.

Generic steps:

- Select a particular message M to query the encryption of DCT and obtain the corresponding ciphertext $C_L || C_R$.
- 2 Determine the value of each message block and modification block according to Theorem 2, to make $KBRW_K(M_R) \oplus KBRW_K(M_R \oplus D)$ a linear function of K. Then calculate a set of solutions \mathcal{D} satisfying

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MSB_u(KBRW_K(M_R) \oplus KBRW_K(M_R \oplus D)) = 0^u,
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where $u \leq \tau$.

Select a D from \mathcal{D} and query the decryption of DCT with $C_L || (C_R \oplus D)$. Repeat the step until passing the decryption verification. After about $2^{\tau-u}$ queries, we obtain a successful forgery.

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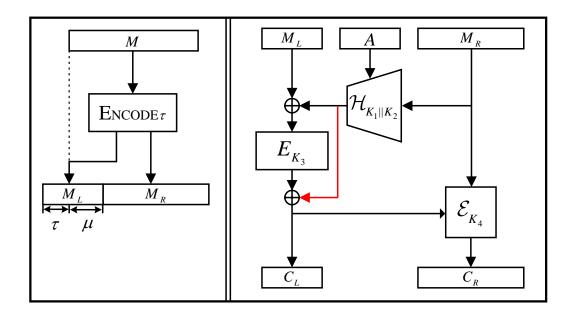


Figure 1: The ENCODE_{τ} process (left) and the encryption process of RDCT (right).

Encryption (resp. decryption) of RDCT will lead to a random output.

• The modification forms a tweakable blockcipher \widetilde{E} based on $\mathcal{H}_{K_1||K_2}$ and E_{K_3} :

$$\widetilde{E}_{K_1,K_2,K_3}((A,M_R),M_L)$$

$$\coloneqq E_{K_3}\left(M_L \oplus \mathcal{H}_{K_1 \parallel K_2}(A,M_R)\right) \oplus \mathcal{H}_{K_1 \parallel K_2}(A,M_R).$$

- The idea is similar to the paper by Ashur et al. [ADL17], which introduces minor tweaks, such as an additional XOR, to obtain a tweakable blockcipher.
- The core of RDCT is an instantiation of UIV construction [DK22].

Lemma 1 (Confidentiality Advantage of RDCT)

Let $\widetilde{\Pi} = \text{RDCT}_{\mathcal{H}, E, \Pi_1, \Pi_2}$. Let **A** be a DETPRIV adversary on $\widetilde{\Pi}$ that submits at most q_e encryption queries of at most m blocks in total and runs in time at most t. Then

$$\mathbf{Adv}_{\widetilde{\Pi}}^{\text{DETPRIV}}(\mathbf{A}) \leq 3q_e^2 \epsilon + \frac{q_e(q_e-1)}{2^{2n+1}} + \mathbf{Adv}_E^{\text{PRP}}(q_e, \mathcal{O}(t+q_e)) + \mathbf{Adv}_{\Pi_1}^{\text{IVE}}(q_e, m, \mathcal{O}(t))$$

Lemma 2 (Integrity Advantage of RDCT)

Let $\widetilde{\Pi} = \text{RDCT}_{\mathcal{H}, E, \Pi_1, \Pi_2}$. Let **A** be a DETAUTH adversary on $\widetilde{\Pi}$ that submits at most q_e encryption queries and q_d decryption queries of at most m blocks in total, and runs in time at most t. Then

$$\mathbf{Adv}_{\widetilde{\Pi}}^{\text{DETAUTH}}(\mathbf{A}) \leq 3q^{2}\epsilon + \frac{q(q-1)}{2^{2n+1}} + \frac{q_{d}}{2^{\tau}} + \mathbf{Adv}_{E}^{\text{SPRP}}(q, \mathcal{O}(t+q)),$$

where $q = q_e + q_d$.

Theorem 4 (DAE Advantage of RDCT)

Let $\widetilde{\Pi} = \text{RDCT}_{\mathcal{H}, E, \Pi_1, \Pi_2}$. Let **A** be a DAE adversary on $\widetilde{\Pi}$ that asks at most q_e encryption queries and q_d decryption queries of at most m blocks in total and runs in time at most t. Then, $\mathbf{Adv}_{\widetilde{\Pi}}^{\text{DAE}}(\mathbf{A})$ is upper bounded by

$$\begin{aligned} \mathbf{Adv}_{\widetilde{\Pi}}^{\mathrm{DAE}}(\mathbf{A}) &\leq 6q^{2}\epsilon + \frac{q^{2}}{2^{2n}} + \frac{q_{d}}{2^{\tau}} + 2\mathbf{Adv}_{E}^{\mathrm{SPRP}}(q,\mathcal{O}(t+q)) + \mathbf{Adv}_{\Pi_{1}}^{\mathrm{IVE}}(q_{e},m,\mathcal{O}(t)), \\ \end{aligned}$$
where $q = q_{e} + q_{d}$.

- When DCT is implemented using the BRW polynomial with a bound of $\epsilon = \mathcal{O}(\frac{m^2}{2^{2n}})$ [FLLW16], the provable bounds of DCT are $\mathcal{O}(\frac{q^2m^2}{2^{2n}} + \frac{qm^2}{2^{\tau}})$.
- Let $u + v = \tau$, when the adversary makes $q = \mathcal{O}(2^v)$ decryption queries of $m = \mathcal{O}(2^{u+2})$ blocks, $\frac{qm^2}{2^{\tau}} > 1$.
- The security of DCT depends on the length of the query. However, the security of RDCT is not affected by it.

Scheme	Provable security	Query complexity		Query	Ref.
			Decryption		
GCM	$\mathcal{O}(rac{q^2m^2}{2^n}+rac{qm}{2^ au})$	1	$2^{\tau-u}$	2^{u+1}	[Fer05]
DCT	$\mathcal{O}(\tfrac{q^2m^2}{2^{2n}} + \tfrac{qm^2}{2^\tau})$	1	$2^{\tau-u}$	$2^{u+2} - 3$	Sect. 5.4
RDCT	$\mathcal{O}(\tfrac{q^2m^2}{2^{2n}} + \tfrac{q}{2^{\tau}-q})$	0	2^{τ}	1	Sect. 6

* n: size of the message block, m: maximum number of blocks of a query, q: number of queries, τ : number of bits in the GCM tag or the redundancy of DCT and RDCT, u: user-selected parameter, $2 \le u \le \tau$. The query length is the input length of the underlying UHF.

- We show that although DCT employs the BRW polynomial to instantiate its UHF, it still suffers from a small stretch problem similar to that of GCM.
- We propose a variant of DCT named Robust DCT (RDCT) with minimal modification, which has a better security bound.

Thanks for Your Attention!

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