

# Bounded Surjective Quadratic Functions over $\mathbb{F}_p^n$ for MPC-/ZK-/FHE-Friendly Symmetric Primitives ToSC 2024, March 2024

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- Motivated by new applications such as secure Multi-Party Computation (MPC), Fully Homomorphic Encryption (FHE), and Zero-Knowledge proofs (ZK), many MPC-/FHE-/ZK-friendly symmetric-key primitives that minimize the number of multiplications over F<sub>p</sub> have been proposed;
- For security reasons, almost all of them are instantiated via invertible components, and permutations;
- However, invertibility is not required in many of the applications just mentioned! (E.g., hash functions for ZK, and PRF for MPC and FHE.)

**Question:** *can we reduce the multiplicative complexity of existing schemes by making use of* **non-invertible** *functions, without affecting the security?* 



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- Preliminary: Bounded Surjective Functions
- 2 From MiMC to MiMC++
- 3 Bounded-Surjective Functions over  $\mathbb{F}_p^n$
- **4** From HadesMiMC to PLUTO
- 5 Summary and Open Problems



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- $\bigcirc$  Bounded-Surjective Functions over  $\mathbb{F}^n_p$
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A function  $\mathcal{F} : X \to Y$  is surjective if  $\forall y \in Y$ , there exists  $x \in X$  such that  $\mathcal{F}(x) = y$ .

#### Definition 1

Let  $l \ge 1$  be an integer. The function  $\mathcal{F}$  is *l*-bounded surjective if for any element  $y \in Y$ , there exist at most *l* distinct elements  $\mathfrak{X} = \{x_0, x_1, \dots, x_{l-1}\} \subseteq X$  such that

$$\mathcal{F}(x_0) = \mathcal{F}(x_1) = \dots \mathcal{F}(x_{l-1}) = y$$
, and  $\forall z \notin \mathfrak{X} : \mathcal{F}(z) \neq y$ .

▶ Let  $\mathcal{F} : X \to Y$  be  $l_{\mathcal{F}}$ -bounded surjective, and let  $\mathcal{G} : Y \to Z$  be  $\lambda_{\mathcal{G}}$ -bounded surjective. Then  $\mathcal{G} \circ \mathcal{F} : X \to Z$  is (at most)  $(l_{\mathcal{F}} \cdot \lambda_{\mathcal{G}})$ -bounded surjective.

Let F : X → X be a *l*-bounded surjective function. The probability that a collision occurs at the output of F is upper bounded by (*l* − 1)/(|X| − 1).



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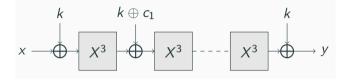
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## MiMC [AGR+16] (Asiacrypt'16)



▶ Instantiated via  $x \mapsto x^d$ , where  $d \ge 3$  is the smallest integer s.t. gcd(d, p - 1) = 1;

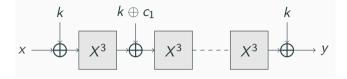
• Security level  $\kappa \approx \log_2(p)$  and data complexity  $\leq 2^{\kappa/2} \approx \sqrt{p} \Longrightarrow$  number of rounds  $\approx \log_d(2^{\kappa}) = \kappa \cdot \log_d(2)$ . E.g., 73 rounds for d = 3,  $p \approx 2^{128}$  and  $\kappa = 128$ ;

Usually used in CTR-mode (due to very expensive decryption!):

 $(x, \mathbb{N}) \mapsto (x + \operatorname{MiMC}_k(\mathbb{N}), \mathbb{N})$ .



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#### From MiMC to MiMC++



- Independently of *p*, the function  $x \mapsto x^2$  is 2-bounded surjective;
- ▶ The PRF MiMC++ over  $\mathbb{F}_p$  corresponds to MiMC instantiated with  $x \mapsto x^2$  (instead of  $x \mapsto x^d$ );
- Let  $\kappa$  be the security level (in bits). Assuming

 $p > 2^{3 \cdot \kappa}$ .

and data complexity  $\leq 2^{\kappa/2}$ , then number of rounds given by

 $3 + \left\lceil \kappa - 2 \cdot \log_2(\kappa) \right\rceil$ .

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### Security Analysis of MiMC++ (1/2)



#### Security analysis analogous to the one of MiMC: GCD is the most powerful attack;

Main Differences due to the non-invertibility:

 About collisions: since R-round MiMC++ is ≤ 2<sup>R</sup>-bounded surjective, the probability that a collision occurs is

$$\leq \frac{2^R - 1}{p - 1} \approx \frac{2^{3 + \lceil \kappa - 2 \cdot \log_2(\kappa) \rceil}}{2^{3\kappa}} \approx 2^{-2 \cdot \kappa}$$

Since  $\leq 2^{\kappa/2}$  texts are available for the attack, observing a collision is unrealistic.

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### Security Analysis of MiMC++ (2/2)



- 2. Polynomial representation of MiMC++:
- ▶ forward direction: over R rounds, it is dense (as in MiMC) and has degree  $\leq 2^{R}$ ;
- ▶ backward direction:  $x \mapsto x^2$  is not invertible, but local inverses exist. E.g., if  $p = 3 \mod 4$ , the inverses of  $x \mapsto x^2$  are  $x \mapsto \pm x^{\frac{p+1}{4}}$ . Still:
  - 1. such local inverses have usually high degree (as in the case of MiMC);
  - 2. it is difficult to *efficiently* combine/set up local inverses over multiple rounds (*open problem for future work*).

We conjecture that few rounds are sufficient to prevent algebraic attacks in the backward direction.

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Multiplicative Complexity of MiMC and MiMC++ in the case of MPC applications:

| PRF   | $(\log_2 p, \kappa)$ | # Rounds | # Multiplications              |  |  |
|---|----------------------|----------|--------------------------------|--|--|
| MiMC++  | ( <b>384</b> , 128)  | 117      | 117                            |  |  |
| MiMC $(d = 3)$                                  | (128, 128)           | 73       | 146 (+ <b>24</b> . <b>8</b> %) |  |  |
| MiMC $(d = 5)$                                  | (128, 128)           | 51       | 153 (+ <b>30.8</b> %)          |  |  |
| MiMC $(d = 7)$                                  | (128, 128)           | 42       | 168 (+ <b>43.6</b> %)          |  |  |
| (See the paper for a more detailed comparison!) |                      |          |                                |  |  |

(**Remark:** The size of p does **not** impact the performance of the MPC application)



From MiMC to MiMC++

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#### First Observation



Working over  $\mathbb{F}_{p}^{n}$ , the non-linear layer

$$[x_0, x_1, \dots, x_{n-1}] \mapsto [x_0^2, x_1^2, \dots, x_{n-1}^2]$$

is **not** a good choice in general:

number of collisions given by

$$rac{(2 \cdot p - 1)^n - p^n}{p^n \cdot (p^n - 1)} pprox rac{2^n - 1}{p^n - 1}$$
 ;

key-recovery attacks can be potentially set up by exploiting the fact that collisions are of the form

$$[x_0^2, x_1^2, \dots, x_{n-1}^2] = [y_0^2, y_1^2, \dots, y_{n-1}^2] \qquad \Longleftrightarrow \qquad x_i = \pm y_i \,.$$

#### Starting Point: SI-Lifting Functions $S_F$



The Shift Invariant (SI) lifting function  $\mathcal{S}_F : \mathbb{F}_p^n \to \mathbb{F}_p^n$  induced by  $F : \mathbb{F}_p^m \to \mathbb{F}_p$  is defined as

$$\mathcal{S}_F(x_0, x_1, \dots, x_{n-1}) = y_0 \|y_1\| \dots \|y_{n-1}$$
 where  
 $\forall i \in \{0, 1, \dots, n-1\}: \qquad y_i := F(x_i, x_{i+1}, \dots, x_{i+m-1}).$ 

#### Theorem 2 ([GOPS22])

Let  $p \geq 3$  be a prime, and let  $n \geq m$ . Let  $F : \mathbb{F}_p^m \to \mathbb{F}_p$  be a quadratic function. Given  $\mathcal{S}_F$  over  $\mathbb{F}_p^n$ :

- if m = 2, then  $S_F$  is never invertible for each  $n \ge 3$ ;
- ▶ if m = 3, then  $S_F$  is never invertible for each  $n \ge 5$ .

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#### Goal and Main Result



Goal: Find the quadratic function  $F: \mathbb{F}_p^2 \to \mathbb{F}_p$  such that

- 1. the number of collisions in  $\mathcal{S}_F$  over  $\mathbb{F}_p^n$  is minimized;
- 2. minimize the *multiplicative cost* of computing  $S_F$ .

Such function is  $F(x_0, x_1) = x_1^2 + x_0$  (or similar) for which

• the probability that a collision occurs at the output of  $\mathcal{S}_F$  over  $\mathbb{F}_p^n$  is

$$rac{(p-1)^n}{p^n\cdot(p^n-1)/2}\leq rac{2}{p^n}\qquad (\ll 1 ext{ for huge } p);$$

▶ a (non-trivial) collision  $S_F(x_0, x_1, ..., x_{n-1}) = S_F(y_0, y_1, ..., y_{n-1})$  implies  $x_i \neq y_i$  for all  $i \in \{0, 1, 2, ..., n-1\}$ ;

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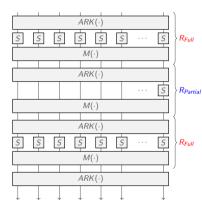
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### HadesMiMC [GLR+20] (Eurocrypt'20)





- $S(x) = x^d$  where gcd(d, p 1) = 1;
- ► Linear layer: multiplication with MDS matrix ∈ ℝ<sup>n×n</sup><sub>p</sub> (for which no arbitrary long subspace trail in internal rounds exists);
- Number of rounds ( $\kappa \approx \log_2(p)$ ):

 $R_F = 2 \cdot R_f = 6$ ,  $R_P pprox \log_d(p)$ ;

Used in CTR mode.

### From HadesMiMC to PLUTO(1/2)



Multiplicative cost of each external/full round:

$$(\lfloor \log_2(d) 
floor + \mathsf{hw}(d) - 1) \cdot n \geq \mathbf{2} \cdot \mathbf{n}$$
 ;

- ► External/Full Rounds crucial for
  - "masking" the internal rounds;
  - simple security argument against statistical attacks (e.g., via wide-trail design strategy);

#### Idea: replace

$$(x_0, x_1, \ldots, x_{n-1}) \mapsto (x_0^d, x_1^d, \ldots, x_{n-1}^d)$$

#### with

$$(x_0, x_1, \ldots, x_{n-1}) \mapsto (x_1^2 + x_0, x_2^2 + x_1, \ldots, x_0^2 + x_{n-1})$$

which costs **n** multiplications independently of p.

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### From HadesMiMC to PLUTO(2/2)



- Internal rounds instantiated with the degree-4 Lai-Massey scheme proposed for HY-DRA [GØS+22] (besides linear layer for destroying invariant subspace trails);
- Security analogous to the one proposed for HadesMiMC. Main differences:
   Collision probability at the output of PLUTO (assuming invertible internal rounds):

$$\leq \frac{2^{8\cdot n}-1}{p^n-1} \approx \left(\frac{2^8}{p}\right)^n \leq 2^{-2\cdot\kappa} \qquad (\text{assuming } \kappa \leq \frac{n}{2} \cdot (\log_2(p)-8));$$

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Comparison between HADESMiMC (instantiated with  $x \mapsto x^3$ ) and PLUTO for the case  $p \approx 2^{128}$ ,  $\kappa = 128$ , and several values of  $n \in \{4, 8, 12, 16\}$ :

|                     | n  | $R_F$ | $R_P$ | Multiplicative Complexity |
|---------------------|----|-------|-------|---------------------------|
| HADESMIMC $(d = 3)$ | 4  | 6     | 47    | 142 (+ 22.4 %)            |
| Pluto               | 4  | 8     | 42    | 116                       |
| HADESMIMC $(d = 3)$ | 8  | 6     | 48    | 192 (+ 24.7 %)            |
| Pluto               | 8  | 8     | 45    | 154                       |
| HADESMIMC $(d = 3)$ | 12 | 6     | 49    | 242 (+ 24.7 %)            |
| Pluto               | 12 | 8     | 49    | 194                       |
| HADESMIMC $(d = 3)$ | 16 | 6     | 49    | 290 (+ 26.1 %)            |
| Pluto               | 16 | 8     | 51    | 230                       |



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#### Summary and Open Problems



- We showed that the multiplicative complexity of several MPC-/FHE-/ZK-friendly schemes can be improved by making use of non-invertible non-linear layers;
- Several open problems: understand in a better way how to exploit the *local inverses* to set up MitM algebraic attacks!

Remark:

we discourage the use of low-degree non-bijective components for designing symmetric primitives in which the internal state is not obfuscated by a secret (e.g., a secret key)!

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## Thanks for your attention!

Questions?

Comments?

About Number of Collisions of  $S_F$  via  $F(x_0, x_1) = x_1^2 + x_0$ 



The collision 
$$\mathcal{S}_F(x_0, x_1, \dots, x_{n-1}) = \mathcal{S}_F(x'_0, x'_1, \dots, x'_{n-1})$$
 corresponds to

$$\begin{bmatrix} 0 & d_1 & 0 & \dots & 0 \\ 0 & 0 & d_2 & \dots & 0 \\ \vdots & & & \ddots & \vdots \\ 0 & 0 & 0 & \dots & d_{n-1} \\ d_0 & 0 & 0 & \dots & 0 \end{bmatrix} \times \begin{bmatrix} s_0 \\ s_1 \\ \dots \\ s_{n-2} \\ s_{n-1} \end{bmatrix} = - \begin{bmatrix} d_0 \\ d_1 \\ \dots \\ d_{n-2} \\ d_{n-1} \end{bmatrix}$$

where  $d_i := x_i - x'_i$  and  $s_i := x_i + x'_i$  for each *i*.

Hence, a collision exists *only* for  $(d_0, d_1, \ldots, d_{n-1}) \in \mathbb{F}_p^n$  such that

that is,  $(p-1)^n$  values.

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$$\mathcal{S}_F(x_0, x_1, \dots, x_{n-1}) = \mathcal{S}_F(x'_0, x'_1, \dots, x'_{n-1})$$
 corresponds to

$$\begin{bmatrix} 0 & d_1 & 0 & \dots & 0 \\ 0 & 0 & d_2 & \dots & 0 \\ \vdots & & \ddots & \vdots \\ 0 & 0 & 0 & \dots & d_{n-1} \\ d_0 & 0 & 0 & \dots & 0 \end{bmatrix} \times \begin{bmatrix} s_0 \\ s_1 \\ \dots \\ s_{n-2} \\ s_{n-1} \end{bmatrix} = - \begin{bmatrix} d_0 \\ d_1 \\ \dots \\ d_{n-2} \\ d_{n-1} \end{bmatrix}$$

where  $d_i := x_i - x'_i$  and  $s_i := x_i + x'_i$  for each *i*.

Hence, a collision exists only for  $(d_0, d_1, \dots, d_{n-1}) \in \mathbb{F}_p^n$  such that  $\forall i \in \{0, 1, \dots, n-1\}: \quad d_i \neq 0,$ 

that is,  $(p-1)^n$  values.



**Goal:** each output y of  $\mathcal{S}_F$  over  $\mathbb{F}_p^n$  admits at most  $2^n$  pre-images.

▶ Given y<sub>i</sub> = x<sup>2</sup><sub>i+1</sub> + x<sub>i</sub>, then x<sub>i</sub> = G<sub>yi</sub>(x<sub>i+1</sub>) := y<sub>i</sub> - x<sup>2</sup><sub>i+1</sub>, where G<sub>y</sub> quadratic;
 ▶ Working iteratively:

$$\begin{array}{l} x_0 = G_{y_0}(x_1) = G_{y_0} \circ G_{y_1}(x_2) = \ldots = G_{y_0} \circ G_{y_1} \circ \ldots \circ G_{y_{n-1}}(x_0) \\ \Longrightarrow \qquad G_{y_0} \circ G_{y_1} \circ \ldots \circ G_{y_{n-1}}(x_0) - x_0 = 0 \end{array}$$

where deg $(G_{y_0} \circ G_{y_1} \circ \ldots \circ G_{y_{n-1}}) = 2^n$ ;

▶ The previous equation admits at most  $2^n$  solutions in  $x_0$ . For each  $x_0$ , it is possible to find the other variables via  $x_i = G_{y_i}(x_{i+1})$ .



**Goal:** each output y of  $\mathcal{S}_F$  over  $\mathbb{F}_p^n$  admits at most  $2^n$  pre-images.

$$\begin{aligned} x_0 &= G_{y_0}(x_1) = G_{y_0} \circ G_{y_1}(x_2) = \ldots = G_{y_0} \circ G_{y_1} \circ \ldots \circ G_{y_{n-1}}(x_0) \\ \implies \qquad G_{y_0} \circ G_{y_1} \circ \ldots \circ G_{y_{n-1}}(x_0) - x_0 = 0 \end{aligned}$$

where deg $(G_{y_0} \circ G_{y_1} \circ \ldots \circ G_{y_{n-1}}) = 2^n$ ;

▶ The previous equation admits at most  $2^n$  solutions in  $x_0$ . For each  $x_0$ , it is possible to find the other variables via  $x_i = G_{v_i}(x_{i+1})$ .



**Goal:** each output y of  $\mathcal{S}_F$  over  $\mathbb{F}_p^n$  admits at most  $2^n$  pre-images.

where deg $(G_{y_0} \circ G_{y_1} \circ \ldots \circ G_{y_{n-1}}) = 2^n$ ;

▶ The previous equation admits at most  $2^n$  solutions in  $x_0$ . For each  $x_0$ , it is possible to find the other variables via  $x_i = G_{y_i}(x_{i+1})$ .



**Goal:** each output y of  $\mathcal{S}_F$  over  $\mathbb{F}_p^n$  admits at most  $2^n$  pre-images.

▶ Given y<sub>i</sub> = x<sup>2</sup><sub>i+1</sub> + x<sub>i</sub>, then x<sub>i</sub> = G<sub>yi</sub>(x<sub>i+1</sub>) := y<sub>i</sub> - x<sup>2</sup><sub>i+1</sub>, where G<sub>y</sub> quadratic;
 ▶ Working iteratively:

where deg $(G_{y_0} \circ G_{y_1} \circ \ldots \circ G_{y_{n-1}}) = 2^n$ ;

► The previous equation admits at most 2<sup>n</sup> solutions in x<sub>0</sub>. For each x<sub>0</sub>, it is possible to find the other variables via x<sub>i</sub> = G<sub>yi</sub>(x<sub>i+1</sub>).

#### From HadesMiMC to PLUTO: Internal Rounds



Internal rounds instantiated with the same degree-4 Lai-Massey scheme used in HY-DRA [GØS+22] (besides linear layer for destroying invariant subspace trails):

$$(x_0, x_1, \ldots, x_{n-1}) \mapsto (x_0 + z, x_1 + z, \ldots, x_{n-1} + z)$$

where

$$z := \left( \left( \sum_{i} \gamma_i^{(0)} \cdot x_i \right)^2 + \sum_{i} \gamma_i^{(1)} \cdot x_i \right)^2$$

such that  $[\gamma_0^{(0)}, \gamma_1^{(0)}, \dots, \gamma_{n-1}^{(0)}]$  and  $[\gamma_0^{(1)}, \gamma_1^{(1)}, \dots, \gamma_{n-1}^{(1)}]$  are linearly independent;

• Cost of each internal round: 2 multiplications *independently of p*.

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